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Margulis' construction of a family of expander graphs,  
i.e. sparse graphs with strong connectivity properties

Recall that a graph consists of a set of nodes,  $V$ , and a set of edges,  $E$ , between some pairs of nodes. In a complete graph, there is an edge between any pair of nodes, thus being far from sparse. In a connected graph any two nodes are connected by a sequence of edges. Expander graphs are sparse graphs with strong connectivity properties. Expander graphs have found a lot of applications in complexity theory, design of robust computer networks, and the theory of error-correcting codes, to mention some.

A way of describing a graph  $G$  is by considering the adjacency matrix. The adjacency matrix  $A = (a_{ij})$  is a  $n \times n$  square matrix where the number of columns and rows equals the number of nodes ( $n = |G|$ ), and such that

$$a_{ij} = \begin{cases} 1 & \text{if } \{i, j\} \in E \\ 0 & \text{if } \{i, j\} \notin E \end{cases}$$

We can also define the adjacency operator;  $A : \ell^2(V) \rightarrow \ell^2(V)$  on functions  $f : G \rightarrow \mathbb{C}$  by the formula

$$Af(v) = \sum_{\{v,w\} \in E} f(w)$$

i.e. we take the sum of the function over all the neighbours of  $v$ . The number of neighbours of a node  $v \in V$  is called the valence of  $v$ , denoted  $\text{val}(v)$ .

The adjacency matrix is symmetric with eigenvalues

$$\lambda_1 \geq \dots \geq \lambda_n$$

The eigenvalues reveals different properties of the graph. For a  $k$ -regular graph, i.e. a graph for which every node has precisely  $k$  neighbours, we have  $\lambda_1 = k$  and  $\lambda_n \geq -k$ . We also have  $\lambda_n = -k$  if and only if  $G$  contains a non-empty bipartite graph as a connected component. For a  $k$ -regular graph on  $n$  vertices, we have

$$\sum_{i=1}^n \lambda_i = 0 \quad \text{and} \quad \sum_{i=1}^n \lambda_i^2 = nk$$

For any subset  $W$  of nodes in  $G$  we define  $\partial W$  as the set of nodes, outside  $W$  but with an edge into  $W$ , i.e.

$$\partial W = \{v \in V \setminus W \mid \exists w \in W \text{ such that } \{v, w\} \in E\}$$

For any set  $W$  of nodes in  $G$  we use the notation

$$\epsilon(W, \partial W) = |\{\{v, w\} \in E \mid w \in W, v \in \partial W\}|$$

Definition. Let  $G = (V, E)$  be a finite graph. The expansion constant (or Cheeger constant)  $h(G)$  of  $G$  is given by

$$h(G) = \min_W \left\{ \frac{\epsilon(W, \partial W)}{|W|} \right\}$$

where  $W$  is any non-trivial subset of nodes in  $G$ , of cardinality  $|W| \leq \frac{1}{2}|V|$ .

Definition. A graph is a  $(d, \epsilon)$ -expander if it is  $d$ -regular and  $h(G) \geq \epsilon$ .



Notice that  $\epsilon(W, \partial W) \leq d|W|$ . In other words,  $h(G)$  is the smallest possible ratio between the number of edges exiting from  $W$  and the size of  $W$ , when  $W$  is a set of vertices that is non-empty, but not too big. The expansion constant gives valuable information about the connectedness of  $G$ . In fact, one can show that  $G$  is connected if and only if  $h(G) > 0$ . Another fact is that if  $W$  is a subset of nodes with

$$\frac{|W|}{|V|} = \delta < \frac{1}{2}$$

you have to remove at least  $\delta \cdot h(G) \cdot |V|$  to disconnect  $W$  from the rest of the graph.

**Definition.** A family  $\{G_i\}_{i \in \mathbb{N}}$  of finite non-empty connected graphs  $G_i = (V_i, E_i)$  is an expander family if there exist constants  $\nu \geq 1$  and  $h > 0$ , not depending on  $i$  such that:

- i) The number of nodes tends to  $\infty$ , i.e.

$$|G_i| \rightarrow \infty \text{ as } i \rightarrow \infty$$

- ii) The number of neighbours is limited (sparsity), i.e. for each  $i \in \mathbb{N}$  and  $v \in V_i$ , we have

$$\text{val}(v) \leq \nu$$

- iii) Connectedness is controlled, i.e. for each  $i \in \mathbb{N}$ , the expansion constant satisfies

$$h(G_i) \geq h > 0$$

Even if it is rather easy to find examples of expander graphs, it was not until 1973 known how to construct a family of such graphs. Margulis came up with the following construction:

For every  $n$ , let  $G_n$  be a graph with vertex set  $\mathbb{Z}_n \times \mathbb{Z}_n$ , i.e.  $G_n$  has  $n^2$  nodes. Define four functions,

$$\begin{aligned} S(a, b) &= (a, a + b) \\ T(a, b) &= (a + b, b) \\ s(a, b) &= (a + 1, b) \\ t(a, b) &= (a, b + 1) \end{aligned}$$

where addition takes place modulo  $n$ .

A vertex  $(a, b)$  in  $G_n$  is connected to the 8 other vertices, given by

$$s, s^{-1}, t, t^{-1}, S, S^{-1}, T, T^{-1}$$

and Margulis showed that  $h(G_n) \geq 0.46$  for all  $n$ . It follows that  $\{G_n\}$  is an expander family of constant valence 8, and expansion constant bounded below by 0.46.

Very soon after this first construction in 1973, other examples were constructed.:

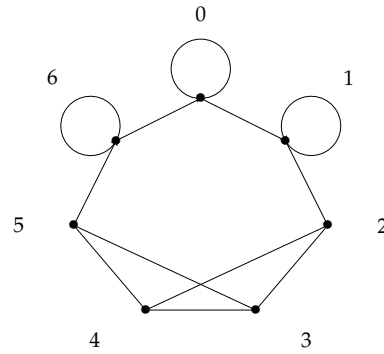


Figure 1: The graph of the last example for  $p = 7$ . Notice that a loop counts as one edge.

Let  $p$  be a prime and let  $V = \mathbb{Z}_p$ . We define a 3-regular graph  $G = (V, E)$  where the edges are of two types,  $(x, x + 1)$  and  $(x, x^{-1})$  for each  $x \in \mathbb{Z}_p$ . (Put  $0^{-1} = 0$ ). This is a  $(3, \epsilon)$ -expander for some fixed  $\epsilon > 0$  and any prime  $p$ .

